

Community Digital Sound Programme (C-DSP) licence

Application form – Part A (public)

Name of applicant (i.e. the body corporate that will hold the licence):

Lionheart Radio and Media Community Interest Company

Proposed service name:

Lionheart Radio

Radio multiplex service(s) on which the proposed C-DSP service is to be provided (note this must be a small-scale multiplex area either previously advertised or currently being advertised by Ofcom as shown in the multiplex licence advertisement)

Alnwick & Morpeth

Public contact details (i.e. Contact name and/or company name, company address, telephone number(s) and email):

Richard Dale, Director
Lionheart Radio & Media CIC
The Centre, 27 Fenkle Street, Alnwick, Northumberland, NE66 1HW
01665 602299
studio@lionheartradio.com

Contents

Section

1. Overview	3
2. Applicant's details	5
3. The proposed service	15
4. Compliance of the service	21
5. Declaration	24

2. Applicant's details

About this section

In this section we are asking you for details about the applicant company. This must be a body corporate which is not profit distributing.

In the first part of this section, we are asking for basic details about the applicant. These include company registration number and contact information.

In the second part of this section we are asking for details of the applicant's officers (directors or, in the case of LLPs, designated members), its shareholders and participants. Where applicable, we are also asking for details of the officers of the applicant's parent and associated companies or LLPs etc.

If any of the individuals named in your responses are known by more than one name/version of their name, all names must be provided.

Certain persons are disqualified from holding a C-DSP licence. This section asks the questions which enable us to consider this for those types of disqualification which apply specifically to bodies corporate. It also asks questions which are relevant to our assessment of the applicant's fitness and properness to hold a C-DSP licence.

Before completing this section of the form, you should read [Ofcom's guidance on the definition of 'control' of media companies](#). Throughout this section, "control" has the meaning it is given in Part I of Schedule 2 of the Broadcasting Act 1990.

The response boxes and tables should be expanded or repeated where necessary, or provided in a separate annex.

'Officerships' in this section refers to: directorships of bodies corporate, designated memberships of LLPs, or membership of a governing body of an unincorporated association (including partnerships).

Applicant information and contact details

2.1 Name of applicant (i.e. the body corporate that will hold the licence):

Lionheart Radio and Media Community Interest Company

2.2 Company registration number stated on Companies House:

6220234

2.3 For UK registered companies, the address of the applicant's registered office stated on Companies House.

For non-UK registered companies, the principal office address:

The Centre,
27 Fenkle Street, Alnwick, Northumberland
NE66 1HW

- 2.4 If a UK registered company, is the current Memorandum and Articles of Association document available on the Companies House website?

☒ Yes ☐ No

If no, please submit the up to date document and indicate you have done so in the checklist in Section 4 of Part B.

- 2.5 Contact details of the individual duly authorised by the applicant for the purposes of making this application. This individual should be the company secretary, a director or (if an LLP) designated member.

(If you are an agent completing the form on behalf of the applicant please do not enter your details here – see paragraph 2.25 of the [guidance notes](#)).

Full name	Richard Conrad Dale
Job title	Director / Company Secretary
Address	The Centre, 27 Fenkle Street, Alnwick, Northumberland, NE66 1HW
Telephone	01665 602299
Mobile	07961 771073
Email	studio@lionheartradio.com

- 2.6 If the proposed Licensed Service has/will have a website, please provide the website address below.

www.lionheartradio.com

- 2.7 How will the service be financed? If the applicant is receiving, or is likely to receive, any form of funding and/or financial assistance to establish and maintain the service, please provide details of who is providing that funding/financial assistance and the extent of it.

If you are receiving funding from, or on behalf of, a source that could be considered a political organisation or a religious body, you must set out the nature of that organisation here.

We expect to fund the limited additional costs for SSDAB broadcasting through a small annual grant which we receive annually via the Community Foundation for Tyne & Wear and Northumberland. The funder has no political or religious affiliation. The service is a simulcast addition to our existing FM and online (simulcast) broadcasting. Our financial wellbeing is checked yearly by Ofcom via the Annual Report (and five-yearly via the Licence Extension Application).

Ownership and control of the company which will hold the licence

Details of officers, participants and shareholders of the applicant

2.8 Complete the following table, expanding it if necessary, to provide the following details for each director or designated member of the applicant (i.e. the body corporate that will hold the licence):

Full name of individual	Correspondence address ¹	Country of residence	Other officerships held (and nature of the business concerned)	Other employment
Ronald Abraham Bernstein	The Centre 27 Fenkle Street Alnwick Northumberland NE66 1HW	UK	None	None
Richard Conrad Dale	The Centre 27 Fenkle Street Alnwick Northumberland NE66 1HW	UK	Northumberland Community Digital Community Interest Company: SSDAB licence holder for Alnwick & Morpeth: Director Northumbria Healthcare NHS Foundation Trust: Non Executive Director and Chair of Audit Committee University of Durham: Member of Council and Chair of Audit and Risk Committee Greta Grove House Limited: Management company for block of flats: Director and Company Secretary Parochial Church Council of St Michael and St Paul, Alnwick: PCC Treasurer	Self-employed management consultant
Anne Marjorie Howie	The Centre 27 Fenkle Street Alnwick Northumberland NE66 1HW	UK	None	None

¹ This should be the same address as is held and published by Companies House.

Garth Rexworthy Jeffery	The Centre 27 Fenkle Street Alnwick Northumberland NE66 1HW	UK	None	None
Philip David Bartle Rippon	The Centre 27 Fenkle Street Alnwick Northumberland NE66 1HW	UK	None	None

- 2.9 Complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the applicant (“participants”). If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

Full name of >5% participant (existing and proposed)	Number of shares	Total investment (£s)	Total investment (%)	% of voting rights
N/A				
Comments				
Community Interest Company limited by guarantee. All directors are members of the company but with no rights to the assets of the company.				

- 2.10 Complete the following table, expanding if necessary, to identify any entities with which the applicant is affiliated. By affiliated, we mean companies that are related through ownership, either with one company being a minority shareholder in the other, or through multiple companies being owned by a third party.

(If this question is not applicable to the applicant please respond “N/A” in the table.)

Full name of the entity	Address
N/A	

- 2.11 Complete the following table, expanding it if necessary, to list any bodies corporate which are controlled by the applicant, and their affiliates:

(If this question is not applicable to the applicant please respond “N/A” in the table.)

Full name of the entity	Address	Affiliates
N/A		

Details of persons who control the applicant

- 2.12 Complete the following table, expanding it if necessary, to list all persons who control the applicant, together with their affiliates. If any persons or bodies control the applicant jointly because they act together in concert (e.g. because of a shareholder's agreement), each such person must be identified here:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of individual or body	Address	Affiliates
N/A		

- 2.13 Complete the following table, expanding it if necessary, to list all officerships in other bodies that are held by any individual listed in response to question 2.12, and any affiliates of those bodies. An "officership" refers to being a director of a body corporate, designated member of a limited liability partnership, or member of the governing body of an unincorporated association:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of individual	Name of body in which officership held	Affiliates of that body
N/A		

- 2.14 Complete the following table, expanding it if necessary, to list all bodies corporate which are controlled by any body corporate listed in response to question 2.12, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of body corporate listed in 2.11	Body corporate controlled	Affiliates of body corporate controlled
N/A		

- 2.15 In relation to each body corporate identified in response to question 2.12, complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the body corporate concerned (i.e. "participants"). You may, but are not required to, exclude from this table any bodies listed in response to question 2.12. If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If this question is not applicable to the applicant please respond "N/A" in the table)

Name of body corporate identified in response to question 2.11				
Full name of >5% participant	Number of shares	Total investment (£s)	Total investment (%)	% of voting rights
N/A				
Comments				

Involvement of the applicant in specified activities

2.16 Please state below whether the applicant, or any of the directors, shareholders or other individuals named above, including their associates (i.e. directors of their associates and other group companies), is, or is involved in, any of the below, and the extent of that interest.

Activity/involvement	Yes / No	Please state who is involved; the name of the body/individual/agency they are involved with; and the extent of their involvement
a) A local authority	No	
b) A body whose objects are wholly or mainly of a political nature, or which is affiliated to such a body	No	
c) A body whose objects are wholly or mainly of a religious nature; ²	No	
d) An individual who is an officer of a body falling within (b) or (c);	Yes	Richard Dale, Director, is a member and treasurer of the Parochial Church Council of St Michael and St Paul, Alnwick. This is entirely independent of his activity at Lionheart Radio.
e) A body corporate which is an associate (as defined in paragraphs 1(1) and 1(1A) of Part I of Schedule 2 to the Broadcasting Act 1990) of a body falling within (b) or (c);	No	
f) An advertising agency or an associate of an advertising agency	No	

² Please refer to Sections 3 to 5 of [Ofcom's religious guidance note](#) for details on how we determine the eligibility of religious bodies to hold certain broadcasting licences.

Details of applications, licences and sanctions

2.17 Is the applicant (i.e. the body corporate that will hold the licence) a current licensee of Ofcom?

☒ Yes ☐ No

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of multiplex
CR049	FM Community Radio licence holder for Alnwick

2.18 Has the applicant (i.e. the body corporate that will hold the licence) held an Ofcom broadcasting licence before?

☐ Yes ☒ No

If yes, please provide the details expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

2.19 Has anyone involved in the proposed service held an Ofcom broadcasting licence or been involved in an Ofcom-licensed broadcast service before?

☐ Yes ☒ No

If yes, please provide the details expanding the table if necessary:

Dates licence was held or dates of involvement	Licence number (if known)	Name of service or multiplex
N/A		

2.20 Does the applicant (i.e. the body corporate that will hold the licence) control an existing Ofcom licensee?

☐ Yes ☒ No

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

- 2.21 Is the applicant (i.e. the body corporate that will hold the licence) controlled by an existing licensee or by any person who is connected (within the meaning of Schedule 2 to the Broadcasting Act 1990) with an existing licensee (i.e. as a “participant”)?

☐ Yes ☒ No

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

- 2.22 Has the applicant – or any person(s) controlling the applicant - made any other application to Ofcom (or its predecessor broadcast regulators – the Independent Television Commission and the Radio Authority) for any licence which has since been surrendered by the licensee or revoked by Ofcom (or one of its predecessor regulators)?

☐ Yes ☒ No

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

- 2.23 Is the applicant – or any person(s) controlling the applicant - subject to any current or pending investigation by any statutory regulatory or government body in the United Kingdom or abroad in respect of any broadcast-related matter?

☐ Yes ☒ No

If yes, please provide the following details expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Details of the investigation
N/A		

- 2.24 Has the applicant – or any person(s) controlling the applicant – ever been subject to a statutory sanction for contravening a condition of a broadcasting licence in the UK or any other jurisdiction?

☐ Yes ☒ No

If yes, please provide the following details relating to each sanction expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Nature of the breach	Sanction imposed	Date sanction imposed
N/A				

- 2.25 Has the applicant – or any person(s) controlling the applicant – ever been convicted of an unlicensed broadcasting offence?

☐ Yes

☒ No

If yes, please provide the following details:

Full name	Date of conviction/action (dd/mm/yy)	Penalty
N/A		

- 2.26 Please provide any further information you hold, relating to the past conduct of the applicant or those individuals listed, in regulatory matters or in matters going to honesty and/or compliance, which may be relevant to Ofcom's consideration of whether or not the applicant is fit and proper to hold a broadcast licence. If the applicant or the form signatory fails without reasonable excuse at this point to declare any matter of which Ofcom subsequently becomes aware, and which we do consider to be relevant to the applicant's eligibility to hold a licence, we will take it into account in determining the question of whether the applicant/licensee remains fit and proper to hold a licence.

If you have no information to provide, please respond "N/A".

N/A

3. The proposed service

About this section

This section asks you to describe your proposed service, including the Key Commitments you propose to include in your licence. This includes your service name, multiplex name and character of service, in addition to standard commitments that all C-DSP licensees need to abide by. Holders of an existing analogue community radio licence to be a simul-cast on the proposed C-DSP service can replicate the existing analogue key commitments as it is our expectation that the key commitments for simulcast services are to be in keeping with one another. If a licence is granted, the information you provide in this section will be used to form the basis of the annex to your licence. You will only be authorised to broadcast what is detailed in the annex of the licence.

In this section, you will also need to set out how your service will provide social gain, community participation and how you will be accountable to the target community. This is in line with statutory requirements for the granting of C-DSP licences. **The information provided in this section is also the basis on which decisions are made.**

If you hold, or intend to hold, multiple C-DSP licences, the answers given in this section and the intended delivery of your Key Commitments must apply to the locality in which your proposed service will broadcast (as set out in the Draft Key Commitments in this application form).

Your proposed service and target community

3.1 What is the proposed service name?

Lionheart Radio

3.2 On which radio multiplex service do you intend to broadcast? If the relevant radio multiplex licence has not yet been awarded, please state the name of the area that the multiplex service is intended to cover, as defined in the multiplex licence advertisement.

Alnwick & Morpeth

3.3 Where is your proposed studio located? Please note that this must be located within the coverage area of the small-scale radio multiplex service identified in answer to 3.2 (or the advertised area for a small-scale radio multiplex service that has not yet been awarded).³

Alnwick

³ If you propose to provide your service on a local, rather than a small-scale, radio multiplex service, there is no requirement for your studio to be located within the licensed area of that local radio multiplex service.

- 3.4 If the proposed service is a simulcast or corresponding service of an existing licensed radio service, please list that below and provide the licence number.

Lionheart Radio: CR049

- 3.5 What is the target community of the service? Please include the geographical area that you wish to serve in addition to the interests or characteristics that define your target community. The area you wish to serve must be geographically located within the cover-age area of the radio multiplex service on which you intend to broadcast. **Answer in fewer than 400 words.**

The target community is as much of Northumberland as the Alnwick & Morpeth multiplex covers. We are already an integral part of the local community – via FM to Alnwick and the surrounding villages – and we are aware of deficits in the coverage on the outskirts of our FM range, particularly for local travellers / commuters on the A1 in Northumberland.

- 3.6 How will you ensure that your proposed C-DSP service is run on a not-for-profit basis? Please give details of specific measures or arrangements in place to ensure this, and how any profit will be wholly and exclusively used for securing or improving the future provision of the service, or for the delivery of social gain. **Answer in fewer than 400 words.**

Lionheart Radio & Media CIC is a not-for-profit social enterprise. In the past 18 years, no profit has ever been taken from the company. Any surplus that we have ever managed to achieve has been retained within the company and used to improve the provision of the FM service, or to offset any previous / subsequent shortfall. This is overseen by the Board of Directors. Two Directors in particular are responsible for the bookkeeping and the production of annual company accounts.

Social gain

- 3.7 What community benefits will your service bring to your target community(ies) and, if applicable, the general public. Please include summaries of evidence to support your answer, including details about other organisations you intend to work with. **Answer in fewer than 500 words.** Please do not provide names of individuals in your answer.

We broadcast 24 hours a day, seven days a week on FM to Alnwick and the surrounding villages and via internet to other parts of the county and further afield.

We are one of only two FM community radio stations in Northumberland. Feedback through social media, email and other direct contact continues to be very positive. We produce a wide variety of programming by our enthusiastic team of volunteers of all ages.

There are about 60 volunteers involved with the radio station, embracing all age ranges and interests with a particularly pleasing involvement of people with special needs. Several of our volunteers use the skills and confidence they gain with us to secure employment.

Listener numbers are strong and we continue to have positive feedback on, and media coverage of, what we are able to achieve.

We aim to present original programming between 7am and 10pm each day. We have a blended approach to programming with a mix of live programming and shows recorded shortly before broadcast. This reflects the needs of some of our presenters with access difficulties to the studio, or work commitments.

We maintain a wide range of programming. We broadcast local news five days a week from 7am to 6pm. This is partly supported by the BBC's Local Democracy Reporting Service with the supply of timely and topical material. We broadcast a community hour three times a week which gives more specific focus to the activities of local organisations. Speech programming includes local news and issues, sport, gardening, environment, arts and music, community activity, volunteering and charities. We broadcast extensive coverage of the 2024 General Election and, in particular, the newly formed North Northumberland constituency. Music ranges from mainstream in the daytime to specialist music including brass bands, musicals, rock, country, blues, jazz, gospel and specific decades with significant coverage of local musicians who would otherwise struggle to gain airtime.

Our work with young people in the area has continued during the year. We have several young presenters – both live and pre-recorded, a very successful partnership with the local Guides and a very popular partnership with Barndale, a special needs school in Alnwick. Our young people from the local secondary school have successfully run an OB of an event involving bands and singers from the school, and this has further strengthened our relationship with the school and the community of listeners who really appreciate hearing such events on air.

We work with Alnwick Town Council to publicise their events, and to provide broadcast coverage where applicable.

We installed a new FM transmitter to replace the existing old equipment which has significantly improved the quality of transmission and has been well received.

- 3.8 Please summarise how your service will facilitate discussion and the expression of opinion.
Answer in fewer than 200 words.

We provide and encourage access to our service to all members of the Alnwick community and neighbouring villages – either as presenters or contributors – and encourage a wide range of programming with no prescribed formats. Within the limits of the Ofcom Broadcasting Code and respecting the need for right of reply, we encourage a broad range of opinions to be expressed.

- 3.9 How will you ensure that members of your target community(ies) can gain access to the facilities used to provide your service, and receive training in using these? In particular, please set out how this will be done practically, formally and/or informally. **Answer in fewer than 400 words.**

Lionheart Radio premises are in the attic of a public building (we rent managed space from the County Council). The building is in the centre of Alnwick, with parking, and open and accessible to everyone. There is a lift in the building, but the final access into the attic is by stairs. To date, no volunteer has been unable to navigate the stairs, but – should this arise – then the volunteer will be trained in producing pre-recorded shows, ideally at home. An accessible room within the building can be used if the volunteer requires the use of Lionheart computers and microphones.

Training is provided to all new volunteers following a training plan. We have training plans for live shows, pre-recorded shows, audio editing, OB production, interviewing, news and feature production. Additional ad hoc training is provided to anyone who wishes to utilise some of the lesser-used features of the studio or other equipment.

- 3.10 How will your service provide better understanding of your target community and the strengthening of links within it? **Answer in fewer than 200 words.**

Weekday local news bulletins provide comprehensive coverage of local issues. We interview a wide range of guests from the community which provides a strong and popular platform for their activities. Most of our presenters live in the target community and put their local contacts and experience to good use. Our presenters come from a wide range of age and background which gives us broad coverage of the interests of our community.

- 3.11 Please summarise the relevant experience of the group or its members in activities related to the provision of social gain or other relevant non-broadcast areas (such as third sector, training or education). **Answer in fewer than 200 words.**

Lionheart Radio has been on air for 18 years and many volunteers have been with us for much of that time, heavily involved with and committed to the station's

social gain objectives which we believe have been successfully achieved. In addition, many are involved with other local community groups.

Participation

- 3.12 How do you propose to ensure that members of your target community(ies) are given opportunities to participate in the operation and management of the service? **Answer in fewer than 400 words.**

We advertise on our website and through social media for the various roles that are available within Lionheart Radio. Practically, we find that the best advertising comes by word-of-mouth from existing volunteers. Also, volunteers (starting out as presenters) with an interest in expanding their role within Lionheart Radio are happy to put themselves forward for support roles, audio production, etc.

Accountability

- 3.13 How will members of your target community contact your service and influence its operation? **Answer in fewer than 300 words.**

Members of our target community already contact us via telephone, email, text, and social media. We also hold regular Listeners' Forums where anyone can have their say. This is how we know that a key concern was the quality of FM transmission, which has been successfully addressed through our new transmitter, with encouraging feedback.

- 3.14 How will suggestions and/or criticisms from members of your target community(ies) be considered and acted upon? **Answer in fewer than 300 words.**

Suggestions and criticisms are either actioned by members of the support team or are referred to the Board for consideration and action. The latter particularly so if non-trivial expenditure would be incurred. If necessary, funding is sought for specific projects (e.g. the new transmission equipment, the new OB equipment).

Draft Key Commitments

Below is an example of the licence annex where the Key Commitments appear. Should a licence be awarded, the entries you provide below will form the Key Commitments section of your licence. Holders of a community radio analogue licence that is to be simulcast, or a corresponding service, are expected to provide key commitments that are in line with their existing service(s). As such, applicants may refer to the existing key commitments of the relevant simulcast or corresponding service(s) to ensure that the draft below is in line with those of the existing service(s).

Please provide entries where specified in **BOLD** below. The information you enter here should reflect your answers to Sections 3 and 4 of the Part A of your completed Application Form. This will form the basis of your Key Commitments alongside the mandatory text in italics. Do not amend the text in italics as every service is required to comply with these requirements, but the details of how each service does so do not need to be included in the Key Commitments.

ANNEX TO LICENCE

LICENSED SERVICE NO tbc

Licensed Service	Lionheart Radio
Service Description	<p>Lionheart Radio is for people living in and around the town of Alnwick and acts as a focus for Alnwick district. Its programming focuses on local issues, providing an opportunity for all members of the community to air their opinions and views, and has specific content for disadvantaged groups.</p> <p>The studio is located within the licensed coverage area.</p> <p>The service provides a range of community benefits (social gain objectives mandated by statute) for the target community, both on-air and off-air, and in doing so, achieves the following objectives:</p> <ul style="list-style-type: none">• the facilitation of discussion and the expression of opinion,• the provision (whether by means of programmes included in the service or otherwise) of education or training to individuals not employed by the person providing the service, and• the better understanding of the particular community and the strengthening of links within it. <p>Members of the target community contribute to the operation and management of the service.</p> <p>The service has mechanisms in place to ensure it is accountable to its target community.</p> <p>[Taken from Lionheart Radio FM service key commitments agreed by Ofcom March 2025]</p>
Transmission Schedule	24 Hours per day, 7 days per week.
Multiplex	Alnwick and Morpeth

4. Compliance of the service

About this section

This section asks you to describe the compliance arrangements for the proposed licensed service, i.e. the arrangements which the applicant will put in place to ensure that the content it proposes to broadcast will comply with the relevant regulatory codes and rules for programming and advertising. These include:

- The Ofcom Broadcasting Code
- The BCAP Code: the UK Code of Broadcast Advertising
- The Regulation of Premium Rate Services Order

Condition 17 of a C-DSP licence requires that you have compliance procedures in place, and this section asks that you demonstrate your ability to meet this licence condition.

Before completing this section of the form you should read Section 4 of the [C-DSP guidance notes](#), where you will also find links to the codes and rules listed above.

- 4.1 Please give details of all compliance training (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e. the individual who holds overall responsibility for compliance of the service) has received in the relevant codes and rules (for example, those referred to in the box at the start of this section).

Lionheart Radio has been broadcasting as an analogue Community Radio Station since March 2007. We have well established systems and processes to monitor and ensure compliance with the various codes, rules and legislation that applies to our service.

Our Chair, Compliance Officer and Station Organiser have all been members of the radio station since it was started and have a sound knowledge of the Ofcom Broadcasting Code and the BCAP Code: the UK Code of Broadcast Advertising. This knowledge is regularly refreshed.

Lionheart Radio does not make use of paid-for phone services.

- 4.2 Please give details of any practical compliance experience (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e the individual who holds overall responsibility for compliance of the service) has with respect to the relevant codes and rules.

Lionheart Radio has been broadcasting as an analogue Community Radio Station since March 2007. Our Chair, Compliance Officer and Station Organiser have all been members of the radio station since it was started and have a sound knowledge of the Ofcom Broadcasting Code and the BCAP Code: the UK Code of Broadcast Advertising. This knowledge is regularly refreshed.

The Compliance Officer and Station Organiser work closely with the other directors and senior volunteers to develop training for new and existing presenters. Key topics include (but are not limited to); the Broadcasting Code and how the Code relates to our programming, programme content, avoiding bias, offensive language, religion, and the election rules for broadcasters.

The Directors meet monthly and programming issues and complaints are standing agenda items.

- 4.3 For each role within your compliance team please provide job title and a brief description of the functions of the role specific to ensuring compliance of the proposed service. Please do not give names of individual members of staff – this question relates to job roles rather than currently employed individuals.

Compliance Officer - reporting to the Board of Directors as a whole, the role holder monitors station output to ensure compliance with the requirements of the Broadcasting Code and with Lionheart Radio's policy and guidelines.

The Station Organiser manages this on a day to day basis and facilitates all the appropriate training and supervision.

Other directors and senior volunteers are assigned roles by the Compliance Officer to support them in this work and to take a lead role on specific issues e.g. news gathering.

- 4.4 How does the applicant intend to formally train staff in compliance procedures? Please include details of the compliance training that will be given to those responsible for live programming, including compliance staff, presenters and producers.

All volunteers must sign our Volunteer Agreement before their role and involvement with Lionheart Radio is confirmed. By signing the Agreement each volunteer agrees to abide by the rules and values of Lionheart Radio including, amongst other things, to comply with the Broadcasting Code, other legislation and station policies.

All volunteers are provided with induction training and ongoing training necessary to carry out the relevant roles within our organisation. The timescale for training depends on each individual volunteer and their outside commitments but must be completed before being allowed live "on air". Specifically, this will address no explicit content, no product endorsement, no disrespect, no breach of equality acts, no criticism of government policy, no disinformation and timely reminders of how to behave preceding elections.

Volunteers are trained in the operation of our studio equipment, general presentation and interviewing skills. Training is controlled by the Compliance Officer and led by the Station Organiser and by senior experienced volunteers currently involved in the service, where necessary. New presenters are mentored by the Station Organiser and senior experienced volunteers, who will monitor their progress and is available to answer any questions that they might have. The Compliance Officer and Station Organiser meet regularly to discuss presenters' skills, training and experience.

- 4.5 Will the training described in response to question 4.5 be mandatory for all staff and volunteers? If not, outline who will receive it.

Yes

- 4.6 It is a licence requirement that a licensee must ensure that all programming on its service (broadcast at any time of the day or night) complies with Ofcom's codes and rules (e.g. Ofcom's Broadcasting Code, which sets requirements on standards to be observed in programme content for the protection of the public).

-) Set out in detail below the systems the applicant intends to have in place to ensure it will be able to comply with the codes and rules when the service is broadcasting live content. Your response should include details of what you will do to prepare presenters and guests pre-broadcast and the process for ensuring that any non-complaint content is dealt with swiftly during the broadcast.

Induction training includes very clear guidance on how to react should any non-compliant material be inadvertently broadcast. This must be completed before any volunteer is allowed to broadcast live. Any incidents will be immediately reported to the Compliance Officer and Station Organiser and subsequently to the Board of Directors.

-) Set out in detail below how the applicant intends to ensure that pre-recorded material will comply with Ofcom's codes and rules. Pre-recorded content could include, for example, material obtained from, or streamed from, third party sources as well as content produced by the licensee.

We broadcast very limited third-party sourced material (mostly from Radio News Hub) and will rigorously review their material for compliance. Volunteers pre-recording their shows are subject to the same training and review procedures that we apply to live presenters.

- 4.7 Please set out how you will ensure the ongoing delivery/compliance of the **on-air** character of service as set out in the Key Commitments. This should be focused on the content you will broadcast on the station.

In your answer, please ensure you include details on each of the following points:

- a) How you will monitor that the character of service is being delivered;
- b) who will be responsible for monitoring this;
- c) how often will they monitor it;
- d) how you ensure this information is published; and
- e) where the information will be published/made publicly available.

The Board of Directors as a whole is responsible for reviewing our compliance with our Key Commitments. This is a standing item at every Board meeting which normally take place monthly. Annual reports on key commitments and social gain are prepared and published on our website and on the Companies House website as part of our annual CIC return.

- 4.8 Please set out how you will ensure the ongoing delivery/compliance of the **off-air** social gain activities as set out in the Key Commitments.

In your answer, please ensure you include details on each of the following points:

- a) How you will monitor that off-air social gain activities are being delivered;
- b) who will be responsible for monitoring this;
- c) how often will they monitor it;
- d) how you ensure this information is published; and
- e) where the information will be published/made publicly available.

The Board of Directors as a whole is responsible for reviewing our compliance with our Key Commitments. This is a standing item at every Board meeting which normally take place monthly. Annual reports on key commitments and social gain are prepared and published on our website and on the Companies House website as part of our annual CIC return.

4.9 What language(s) does the applicant intend to broadcast in?

English

4.10 For each language listed in response to question 4.9 please provide details of how many compliance team member(s) are fluent in each language and will be responsible for ensuring that content broadcast in that language complies with the Ofcom's code and rules. **Please do not give names of individual members of staff.**

All members.

5. Declaration

About this section

This form must be submitted by the applicant named in response to question 2.2. An agent may not sign the form.

The person authorised to make the declaration on behalf of the applicant must print their name and must be one of the following :

- A director of the company or the company secretary where the applicant is a company.
- A designated member where the applicant is a Limited Liability Partnership.

The declaration must also be dated.

- 5.1 I hereby apply to Ofcom for the grant of a licence for the community digital sound programme service described above and declare that the information given in this application form is, to the best of my knowledge and belief, correct.
- 5.2 I further declare and warrant:
-) that I am not a disqualified person within the meaning of that expression as defined in Part II of Schedule 2 to the Broadcasting Act 1990, as amended, or as a result of a disqualification order under Section 145 of the Broadcasting Act 1996;
 -) that having made all reasonable enquiries neither the applicant nor any person controlling the applicant, as a result of the grant to me of the licence, breach any requirement of Schedule 14 to the Communications Act 2003 with regard to the accumulation of interests in broadcasting services or to the restrictions on cross-media interests; and
 -) that the applicant is not disqualified by virtue of the provisions of section 143 (5) of the Broadcasting Act 1996 in relation to political objects and the provisions of section 144 (3) of the Broadcasting Act 1996 in relation to the provision of false information or through the withholding of information with the intention of misleading Ofcom; and
 -) that no director or person concerned directly or indirectly in the management of the applicant is subject to a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996.
- 5.3 I understand that Ofcom reserves the right to revoke the licence (if granted) if at any time any material statement made to Ofcom is found to be false and to have been by the applicant or any member or officer thereof knowing it to be false. I also understand that under sections 144 and 145 of the Broadcasting Act 1996, the provision of false information could incur a criminal conviction and a disqualification from the holding of a Broadcasting Act licence. I further certify that, to the best of my knowledge, any matters which might influence Ofcom's judgement as to whether the directors and any other individuals and/or bodies corporate with substantial involvement in this application are fit and proper persons to participate in a radio licence, have been made known to Ofcom.

Full name (BLOCK CAPITALS) of the applicant or person authorised to make the application of behalf of the applicant:

RICHARD CONRAD DALE

Date of application:

22nd April 2025

I am authorised to make this application on behalf of the applicant in my capacity as Company secretary and company director.

You also need to complete the [confidential section \(Part B\) of the application form](#).