

APPLICATION FORM:

Publication date: 14 July 2022



Small-scale radio multiplex licence

Application form – Part A (public)

Name of applicant (i.e. the body corporate that will hold the licence):

NORTHAMPTON DAB COMMUNITY INTEREST COMPANY

Multiplex licence area being applied for (note this must be a small-scale multiplex area *currently being advertised* by Ofcom): **Northampton**

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1. Overview

The purpose of this form

- 1.1 You should complete this form if you are applying for a licence to provide a small-scale radio multiplex service (a 'small-scale radio multiplex licence'). A small-scale radio multiplex service is the means by which DAB digital radio stations ('digital sound programme services') are broadcast, and can transmit around ten stereo digital sound programme services (or a significantly higher number if the DAB+ standard is utilised). The application form is divided into two parts – **Part A** (which we will publish on our website) and **Part B** (which will be kept confidential). This document constitutes Part A; Part B of the application form is available separately.
- 1.2 Small-scale radio multiplex licences are awarded by Ofcom in a competitive process. Applications are judged against specified criteria, and Ofcom awards the licence to the applicant which it considers best meets the criteria.

How to complete the form

- 1.3 There are detailed instructions on how to complete this form, and information on the next steps in the application process, in our guidance notes for licence applicants and licensees. Please read this guidance and the frequently asked questions carefully before completing this form.
- 1.4 If you still have a query having read the guidance and FAQ, you can contact Ofcom's Broadcast Licensing team by email (smallscaledab@ofcom.org.uk). The team cannot provide advice or pre-approve applications but can answer general questions.
- 1.5 Please download this form and fill it in on a computer. If completing by hand, please use block capitals and black ink.
- 1.6 Please answer all the questions as fully as possible, use extra sheets if required and provide the supporting documentation listed at Section 7 of Part B of the application form. Sufficient information must be supplied about the applicant and the proposed service to enable Ofcom to consider the application in accordance with the statutory criteria for the granting of small-scale radio multiplex licences. Ofcom may need to request further details from you before a licence can be granted.
- 1.7 Ofcom reserves the right to reject applications made using an old version of the form.
- 1.8 Ofcom will accept handwritten application forms, but they must be filled in using block capitals and black ink.
- 1.9 Ofcom reserves the right to reject application forms that are illegible.
- 1.10 You must answer all questions in the application form and respond "N/A" to any questions that do not apply to the applicant.

- 1.11 Ofcom reserves the right to reject applications which do not meet the following criteria:
- a) The declarations in Section 6 of this form and in Section 8 of Part B of the application form must both be signed and dated.
 - b) The person who signs and makes the declaration on behalf of the applicant must be:
 - i) A director of the company or the company secretary where the applicant is a company.
 - ii) A designated member where the applicant is a Limited Liability Partnership.
 - c) All supporting documents as requested in the checklist at Section 7 of Part B of the application form must be supplied in legible form and translated into English where applicable.
- 1.12 If you are completing the form as an agent, i.e. you are acting on the applicant's behalf, please note that you cannot sign it on your client's behalf, and that the person signing the form needs to have personally checked the truth and completeness of the responses given. If your client wishes you to be Ofcom's main contact in relation to the application, please make this clear in an accompanying letter, and provide evidence of your authority to act on behalf of the applicant. The form should be filled in so as to include information about the applicant, not the agent. If Ofcom has further questions once the application has been submitted, we will direct these to the applicant, not to the agent, but we will accept responses from the agent.

How to submit the form

- 1.13 We prefer application forms and required supporting documents to be submitted by email to smallscaledab@ofcom.org.uk. Handwritten applications and required supporting documents should be scanned and attached to the email.
- 1.14 You should receive a response from smallscaledab@ofcom.org.uk confirming that your application has been received by Ofcom. If you do not receive a response, it is likely your application has not been received and you should contact Ofcom's Contact Centre on 0300 123 3333 and ask to leave a message for the Broadcast Licensing team, who will respond to your message as soon as possible.
- 1.15 If the applicant cannot submit the application form and/or the supporting documentation by email, the applicant may submit the application and/or the supporting documentation by post to:
- Ofcom
Broadcast Licensing
Riverside House
2a Southwark Bridge Road
London
SE1 9HA
- 1.16 Those applicants who submit their application by post will be sent an acknowledgement.

Application fee

- 1.17 A non-refundable application fee of £500 is payable either by cheque made out to 'Ofcom' or by bank transfer.
- 1.18 Applications will not be assessed until the application fee has been received in Ofcom's bank account.
- 1.19 Ofcom requests that applicants pay the application fee by bank transfer and that immediately after the applicant has instructed their bank to make the payment, confirmation of payment is emailed to ofcom.remittances@ofcom.org.uk.
- 1.20 To ensure that Ofcom can identify the payment, it must include a payment reference which is the applicant's postcode followed by the applicant's name (or as much of the name as is possible to provide within the character limit set by the bank) as provided in response to question 3.1 of this application.
- 1.21 The bank details to be used to pay the application fee are:
- Account Name: Office of Communications
- Bank details: Lloyds Bank, 69-73 Borough High Street, London SE1 1NQ
- Account number: 00782415
- Sort code: 30-97-90
- BIC: LOYDGB21351
- IBAN: GB05 LOYD 3097 9000 7824 15
- SWIFT: LOYD GB 2L
- 1.22 If the applicant is unable to make the payment by bank transfer, a cheque should be made out to 'Ofcom' and sent in the post to Ofcom Broadcast Licensing team, Riverside House, 2a Southwark Bridge Road, London SE1 9HA. You must state the name of the applicant as provided in response to question 3.2 and proposed service name on the back of the cheque.
- 1.23 If an applicant is paying the application fee by cheque, the cheque must be received within five days of the application being received by Ofcom or the application may be rejected. If an applicant's cheque bounces, the application may be rejected.

Supporting documentation

- 1.24 You must provide a range of supporting documentation when submitting this application form. Please refer to the checklist at Section 7 of Part B of the application form for full details. Please note that failure to supply the necessary documents will delay your application.
- 1.25 Ofcom reserves the right to reject applications with which the necessary documents are not supplied in legible form.

- 1.26 Please note that Ofcom cannot receive emails larger than 35MB. If your application email exceeds this limit, please send your supporting documents in a separate email(s), clearly indicating the applicant's name in the subject line of the email.

Provision of information

- 1.27 Ofcom requires complete and accurate information to assess applications. In particular, we must be satisfied that those applying for a licence are 'fit and proper' to hold a licence and are not disqualified from participation in a licence.
- 1.28 It is an offence under the Broadcasting Act 1996 (as amended) to provide false information or withhold relevant information during the application process, and may be grounds for revocation of a licence subsequently granted.

Publication of applications

- 1.29 Part A of the application form (i.e. this document) will be published by Ofcom on our website as soon as practicable following the closing-date for applications. Because this is the 'public' part of the application form, and Ofcom is legally required to publish applications for public comments, *no questions* should be answered by means of the submission of a confidential annex. If, for logistical reasons, an annex is required to answer a question in Part A of the form, this will be published on our website alongside Part A of the application form. Part B of the application form will remain confidential.
- 1.30 Ofcom may take into account any comments received from the public, which can be made to it with respect to Part A of the application submitted.
- 1.31 In submitting Part A of the application, you agree that Ofcom may publish contact details for the licensee, which may include personal data, on the Ofcom website and/or in other relevant publications.
- 1.32 When a licence is granted, the name of the service and public contact details for the licensee will be published on the Ofcom website. These are the details supplied by the applicant in the application form. If you have any questions about the information that we publish, or there are any changes to this information, you should contact the Broadcast Licensing team by email (smallscaledab@ofcom.org.uk).
- 1.33 Ofcom considers issued small-scale radio multiplex licences to be public documents and copies of licences will be made available to third parties on request, noting that personal data apart from the name of the licensee may be redacted.

Data protection

- 1.34 We require the information requested in this form in order to carry out our licensing duties under the Broadcasting Act 1990, Broadcasting Act 1996 and Communications Act 2003. Please see Ofcom's [General Privacy Statement](#) for more information about how we handle your personal information and your corresponding rights.

Keeping up to date with broadcasting matters

- 1.35 We strongly recommend that you subscribe to receive Ofcom's regular email updates on broadcasting matters. These include a monthly radio licensing update that lists new services licensed, licences revoked, licence transfers, and changes to licensed services during the past month. To subscribe to these updates, please visit www.ofcom.org.uk/about-ofcom/latest/email-updates and select 'Broadcasting'.

2. Extent of proposed coverage area

About this section

Under section 51(2)(a) of the 1996 Act, we are required to consider the extent of the coverage area an applicant proposes to achieve within the area which has been advertised. This section therefore asks you to describe the coverage you are proposing to achieve. Further questions on the detailed technical arrangements for the proposed service, including questions on the transmission site(s) that you intend to use and your plans for how the multiplexing and distribution of your service will be arranged are contained in Part B of the application form.

Before completing this section of the form, you should read carefully the notes on 'Extent of proposed coverage area' in Section 4 of Ofcom's [guidance notes for licence applicants and licensees](#).

Applicants should note there are confidential questions relating to extent of coverage area in Part B of the application form.

- 2.1 Please provide a summary, fully consistent with the more detailed information about transmission sites supplied separately in Part B, of the coverage area proposed to be achieved by your technical plan. This should include a description of the target area you are seeking to serve within the advertised licence area, and also any areas you are aiming to serve outside the advertised licence area for this small-scale radio multiplex service. (You may refer to your coverage prediction in the response you provide):

We intend to cover Northampton Town and the surrounding area associated with Northampton within the polygon.

Northampton is one of the most exciting and fast growing towns in the UK - and the perfect place to launch a DAB radio service. Northampton is the county town of Northamptonshire, located in the East Midlands. Strategically located midway between London and Birmingham, it has significant potential to drive the growth of the wider region. With a proud history of manufacturing and craft, focused on leather and shoemaking, Northampton is now the largest town in England with a population of 225,100 (in 2018) Transport Links

Northampton benefits from exceptional transport connectivity: The M1 connects Northampton with London and the North. The West Coast Main Line (WCML) provides direct rail services to London Euston, Birmingham and Crewe and HS2 will provide an opportunity to improve WCML services to Northampton. Northampton is located 34 miles from Luton airport and 39 miles from Birmingham International airport.

- 2.2 Please provide summary details of the sites required to achieve this coverage by completing the table below (add additional rows as required):

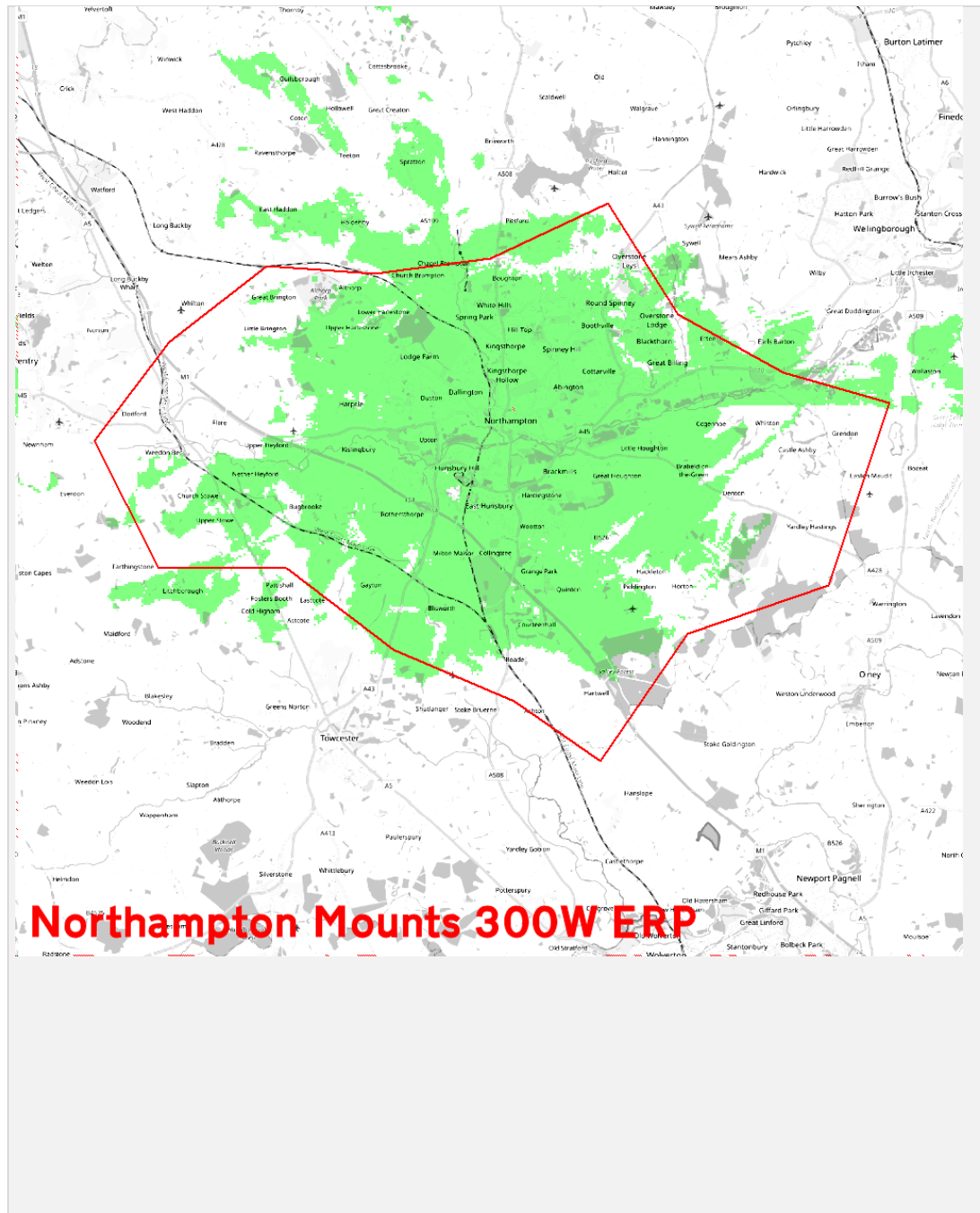
Site name	NGR	Antenna height (m)	Antenna type proposed with	New or existing antenna	ERP (W)

Small-scale radio multiplex licence: Application form (Part A)

		agl)	bearing		
Northamp- ton Mounts	SP75596097	26m	Omni	New	300w

- 2.3 Please provide a coverage prediction map for the whole transmitter network you are proposing to build within 18 months if you are awarded a licence. The map should show the 63 dB μ V/m field strength and the advertised small-scale DAB licence area contour. Small-scale DAB licence areas in GIS format are available on the Ofcom website.

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2.4 Please provide the following details of the prediction software used for your coverage assessments:

Provide the name and version of the prediction tool used.	Maxxwave – July 2022 build
Detail the terrain model used by the software and its resolution.	NASA SRTM 1 arcsecond (~30m)
Detail the ground cover (clutter) data used by the software and its resolution.	Landsat Clutter Data (~30m)

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What propagation algorithm has been used?	Deygout 94 as defined within ISSN 0292-6903
Where multiple sites are proposed, describe what methodology has been used to assess the network (SFN) gain	Power Sum Methodology
If these predictions have not used Ofcom-provided population data, state what population data has been used and its source.	Census 2011 (ONS)
Have your predictions been generated by a commercial organisation? If so, by whom?	Maxxwave Ltd

3. Ability to establish the proposed service

About this section

In Section 3, we are asking questions about the applicant company, its financial and business plan, the relevant expertise and experience of those who will be involved in providing the small-scale radio multiplex service, and the timetable for coverage roll-out. The answers to these questions will enable Ofcom to assess the application under section 51(2)(c) of the 1996 Act. Additional information is requested in Part B of the application form.

In the first part of this section we are asking for details of the applicant's officers (directors or, in the case of LLPs, designated members), its shareholders and participants. Where applicable, we are also asking for details of the officers of the applicant's parent and associated companies or LLPs etc.

If any of the individuals named in your responses are known by more than one name/version of their name, all names must be provided.

Certain persons are disqualified from holding a small-scale radio multiplex licence. This section asks the questions which enable us to consider this for those types of disqualification which apply specifically to bodies corporate. It also asks questions which are relevant to our assessment of the applicant's fitness and properness to hold a small-scale radio multiplex licence.

The response boxes and tables should be expanded or repeated where necessary, or provided in a separate annex.

'Officerships' in this section refers to: directorships of bodies corporate, designated memberships of LLPs, or membership of a governing body of an unincorporated association (including partnerships).

The remaining questions in Section 3 relate to the applicant's financial and business plan, the expertise and experience of those involved, and the planned timetable for launching the proposed multiplex service.

Applicants should note there are confidential questions relating to extent of coverage area in Part B of the application form.

Applicant's details

3.1 Name of applicant (i.e. the body corporate that will hold the licence):

NORTHAMPTON DAB COMMUNITY INTEREST COMPANY

3.2 Company registration number stated on Companies House (if applicable):

14403769

3.3 For UK registered companies, the address of the applicant's registered office stated on Companies House. For non-UK registered companies, the principal office address:

University Of Northampton Nlive Radio, The Resource Centre, Southfield Avenue, Northampton, United Kingdom, NN4 8AQ

- 3.4 If a UK registered company, is the **current** Memorandum and Articles of Association document available on the Companies House website?

Yes / (delete as appropriate)

If no, please submit the up-to-date document and indicate you have done so in the checklist in Section 7 of Part B of the application form.

Ownership and control of company which will hold the licence

Details of officers

- 3.5 Please complete the following table, expanding it if necessary, to provide the following details for each director or designated member of the applicant (i.e. the body corporate that will hold the licence):

Full name of individual	Correspondence address ¹	Country of residence	Other officerships held (and nature of the business concerned)	Other employment
Jim Byrne	University Of Northampton Nlive Radio, The Resource Centre, Southfield Avenue, Northampton, United Kingdom, NN4 8AQ	England	CREATIVITY MEDIA SERVICES LTD (SSDAB Applicant – Rutland and Stamford) CREATIVITY MEDIA SERVICES (NORTHAMPTON) LTD (Community Radio Station – Embrace Radio)	Health and Safety Manager
Christopher Gregg	University Of Northampton Nlive Radio, The Resource Centre, Southfield Avenue, Northampton, United Kingdom, NN4 8AQ	England	HORIZON RADIO LIMITED (Community Radio Horizon Radio) BEDFORD DIGITAL LIMITED (SSDAB Applicant – Bedford) MK DIGITAL MEDIA LTD (SSDAB Applicant – MK) TAZ LEISURE LTD (Entertainment)	Station Manager
Martin Steers	University Of Northampton Nlive	England	HOME COUNTIES COMMU-	Station Manager

¹ This should be the same address as is held and published by Companies House.

Small-scale radio multiplex licence: Application form (Part A)

	Radio, The Resource Centre, Southfield Avenue, Northampton, United Kingdom, NN4 8AQ		NITY MEDIA C.I.C. (Community Radio C-DSP Bedford Radio) BEDFORD DIGITAL RADIO CIC (Bedford SSDAB Applicant) OXDAB LTD (Oxford SSDAB Applicant) BEDFORD DAB LIMITED (Other radio services – currently being dissolved) FIRST OX DIGITAL LTD (Community Radio C-DSP First Ox Digital) UK COMMUNITY RADIO NETWORK CIC (Community Radio trade body) COMMUNITY RADIO AWARDS LTD (National Awards organisation)	
Mark Dean	University Of Northampton Nlive Radio, The Resource Centre, Southfield Avenue, Northampton, United Kingdom, NN4 8AQ	England	N/A	Youth Worker

Details of participants

3.6 Please complete the table overleaf, expanding it if necessary, to list all bodies or individuals which hold or are beneficially entitled to shares, or who possess voting powers, in the applicant (i.e. the “participants”). If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If parts of this question are not applicable to the applicant – for example, because the applicant does not have shareholders – please respond “N/A” in the relevant parts of the table.)

Full name of participant (ex-	Number of	Total in-vestment	Total in-	% of voting
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isting and proposed)	shares	(£s)	vestment (%)	rights
CREATIVITY MEDIA SERVICES (NORTHAMPTON) LTD	1	£1	£1	25%
THE UNIVERSITY OF NORTHAMPTON ENTERPRISES LIMITED	1	£1	£1	25%
INSPIRATION RADIO LTD	1	£1	£1	25%
REVOLUTION RADIO LIMITED	1	£1	£1	25%
Comments				

Details of relationships of control

3.7 Complete the following table, expanding it if necessary, to list any bodies corporate which are controlled *by* the applicant, any director of the applicant, any person/body corporate with control over the applicant, or any body corporate controlled by a person/body corporate controlling the applicant. Please include bodies corporate under joint control – i.e. which are controlled acting together in concert with third parties (e.g. because of a shareholder’s agreement):

(If this question is not applicable to the applicant please respond “N/A” in the table.)

Full name of body corporate	Address	Person/body controlling the entity (i.e. the applicant itself or its associate)
Uno Buses (Northampton) Ltd	Vice Chancellor’s Office University Of Northampton, University Drive, Northampton, England, NN1 5PH	THE UNIVERSITY OF NORTHAMPTON ENTERPRISES LIMITED
Home Counties Community Media CIC	99 High Street, Harrold, Bedford, MK43 7EA	Martin Steers
Bedford Digital Radio CIC	99 High Street, Harrold, Bedford, MK43 7EA	Martin Steers
Community Radio Awards	99 High Street, Harrold, Bedford, MK43 7EA	Martin Steers
CREATIVITY MEDIA SERVICES LTD	2 Wilmington Walk, Corby, Northamptonshire, United Kingdom, NN18 0JR	Jim Byrne
CREATIVITY MEDIA SERVICES	34 Bancroft Road, Cottingham,	Jim Byrne

Small-scale radio multiplex licence: Application form (Part A)

(NORTHAMPTON) LTD	Market Harborough, England, LE16 8XA	
Horizon Radio Ltd	Westbury Arts Centre Foxcovert Road, Shenley Wood, Milton Keynes, England, MK5 6AA	Chris Gregg
Bedford Digital Ltd	Bedford I-Kan Business Centre Bedford I-Kan Business Centre, 38 Mill Street, Bedford, Bedfordshire, England, MK40 3HD	Chris Gregg
TAZ Leisure Ltd	16 Innes Court, Grange Farm, Milton Keynes, United Kingdom, MK8 0NG	Chris Gregg
MK Digital Media Ltd	Sunrise House Sunrise House, 140 East Parade, Bradford, West Yorkshire, United Kingdom, BD1 5BP	Chris Gregg

Details of persons who control the applicant

3.8 Complete the table overleaf, expanding it if necessary, to list all persons/bodies corporate who control the applicant, or control any person/body corporate with control over the applicant. Please include persons or bodies that control the applicant (or body corporate with control over the applicant) jointly i.e. acting together in concert with third parties (e.g. because of a shareholder's agreement). Please note that some of this information may repeat information provided above on shareholders and other participants in the applicant:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of person/body corporate	Address	Person/body controlled by the entity (i.e. the applicant itself or its associate)
CREATIVITY MEDIA SERVICES (NORTHAMPTON) LTD	34 Bancroft Road, Cottingham, Market Harborough, England, LE16 8XA	N/A
THE UNIVERSITY OF NORTHAMPTON ENTERPRISES LIMITED	Vice Chancellors Office, University Drive, Northampton, England, NN1 5PH	N/A
INSPIRATION RADIO LTD	Inspiration Radio Ltd, 20 Sheep Street, Town Centre, Northampton, Northamptonshire, NN1 2LU	N/A
REVOLUTION RADIO LIMITED	4 Abington Street, Northampton, England, NN1 2AJ	N/A

3.9 Complete the following table, expanding it if necessary, to list other bodies in which any individual listed in response to question 3.8 is a director or designated member:

(If this question is not applicable to the applicant please respond “N/A” in the table.)

Full name of individual	Name of body in which individual is a director or designated member
N/A	

- 3.10 Complete the following table, expanding it if necessary, to list all bodies corporate which are controlled (including jointly controlled) by any body corporate listed in response to question 3.8:

(If this question is not applicable to the applicant please respond “N/A” in the table.)

Full name of body corporate listed in 3.8	Body corporate controlled
THE UNIVERSITY OF NORTHAMPTON ENTERPRISES LIMITED	Uno Buses (Northampton) Ltd

- 3.11 In relation to each body corporate identified in response to question 3.8, complete the table overleaf, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, in the body corporate concerned (i.e. “participants”). You may, but are not required to, exclude from this table any bodies listed in response to question 3.8. If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If this question is not applicable to the applicant please respond “N/A” in the table.)

Name of body corporate identified in response to question 3.8				
Full name of participant	Number of shares	Total investment (£s)	Total investment (%)	% of voting rights
CREATIVITY MEDIA SERVICES (NORTHAMPTON) LTD	1	£1	£1	25%
THE UNIVERSITY OF NORTHAMPTON ENTERPRISES LIMITED	1	£1	£1	25%
INSPIRATION RADIO LTD	1	£1	£1	25%
REVOLUTION RADIO LIMITED	1	£1	£1	25%

Comments				

Involvement of the applicant in specified activities

3.12 Please state below whether the applicant, or any individual or body corporate identified in questions 3.5 to 3.11 is, or is involved in, any of the below, and the extent of that interest.

Activity/involvement	Yes or No	Please state who is involved; the name of the body/individual/agency they are involved with; and the extent of their involvement
A local authority	NO	
A body whose objects are wholly or mainly of a political nature, or which is affiliated to such a body	NO	
A body whose objects are wholly or mainly of a religious nature; ²	NO	
An individual who is an officer of a body falling within (b) or (c);	NO	
A body corporate which is an associate (as defined in paragraphs 1(1) and 1(1A) of Part I of Schedule 2 to the Broadcasting Act 1990) of a body falling within (b) or (c);	NO	
An advertising agency or an associate of an advertising agency	NO	

² Please refer to sections 3 to 5 of Ofcom's [religious guidance note](#) for details on how we determine the eligibility of religious bodies to hold certain broadcasting licences.

Details of applications, licences and sanctions

- 3.13 Please answer 'yes' or 'no' to the following questions about the applicant (i.e. the body corporate that will hold the licence):
- a) Is the applicant a current licensee of Ofcom?
No (delete as appropriate).
 - b) Has the applicant ever held an Ofcom broadcasting licence before?
No (delete as appropriate).
 - c) Has anyone involved in the proposed service held an Ofcom broadcasting licence or been involved in an Ofcom-licensed broadcast service before?
Yes (delete as appropriate).
 - d) Does the applicant control an existing Ofcom licensee?
No (delete as appropriate).
 - e) Is the applicant controlled by an existing licensee or by any person who is connected (within the meaning of Schedule 2 to the Broadcasting Act 1990) with an existing licensee (i.e. as a "participant")?
Yes (delete as appropriate).
 - f) Has the applicant – or any person(s) controlling the applicant - made any other application to Ofcom (or its predecessor broadcast regulators – the Independent Television Commission and the Radio Authority) for any licence which has since been surrendered by the licensee or revoked by Ofcom (or one of its predecessor regulators)?
No (delete as appropriate).
 - g) Has the applicant – or any person(s) controlling the applicant - made any other application to Ofcom (or its predecessor broadcast regulators – the Independent Television Commission and the Radio Authority) for any licence which has since been surrendered by the licensee or revoked by Ofcom (or one of its predecessor regulators)?
No (delete as appropriate).
 - h) Is the applicant – or any person(s) controlling the applicant – subject to any current or pending investigation by any statutory regulatory or government body in the United Kingdom or abroad in respect of any broadcast-related matter?
Yes (delete as appropriate).
 - i) Has the applicant – or any person(s) controlling the applicant – ever been subject to a statutory sanction for contravening a condition of a broadcasting licence in the UK or any other jurisdiction?
Yes (delete as appropriate).

3.14 If the response to any of the questions (a) to (i) in 3.13 above is 'yes', please provide the details, expanding the table where necessary:

	Licence number(s) (if known)	Details
(a)		
(b)		
(c)	CR100783BA CR103405BA CR103404BA CR103400BA	NLive Radio Revolution Radio Embrace Radio Inspiration FM
(d)		
(e)	CR100783BA CR103405BA CR103404BA CR103400BA	NLive Radio Revolution Radio Embrace Radio Inspiration FM
(f)		
(g)		
(h)	CR103404BA CR103405BA	Embrace Radio – currently under investigation Revolution Radio – currently under investigation
(i)	CR103405BA	Revolution Radio October 2022 - Pending

3.15 Please provide any further information you hold, relating to the past conduct of the applicant or those individuals listed, in regulatory matters or in matters going to honesty and/or compliance, which may be relevant to Ofcom's consideration of whether or not the applicant is fit and proper to hold a broadcast licence. If the applicant or the form signatory fails without reasonable excuse at this point to declare any matter of which Ofcom subsequently becomes aware, and which we consider to be relevant to the applicant's eligibility to hold a licence, we will take it into account in determining the question of whether the applicant/licensee remains fit and proper to hold a licence.

If you have no information to provide, please respond "N/A".

Revolution Radio found in breach of delivering key commitments November 2021 and October 2022.

3.16 Has the applicant – or any person(s) controlling the applicant – ever been convicted of an unlicensed broadcasting offence?

No (delete as appropriate).

If yes, please provide the following details:

Full name	Date of conviction/action (dd/mm/yy)	Penalty

Financial and business plan

- 3.17 Please provide a high-level estimate of the costs you believe will be required to establish the multiplex service.

We estimate that the following resources will be required to establish the multiplex service:

Marketing: £500

Administration: £1000

Web Hosting: £300

Business Insurance: £500

Ofcom Fees: £500

Physical Transmission and Multiplexing Equipment (Including Installation): Provided by Maxxwave Ltd as an established managed total broadcast contract, based on the standard terms for provision for commercial contracts for all Maxxwave's transmission clients. All capital cost and labour will be provided by Maxxwave Ltd, with costs recovered over the period of the licence. This is a standard model established by Maxxwave with the majority of their other broadcast clients.

Maxxwave confirms that this is a single-transmitter plan using an established transmission site, with capital costs that are lower than Maxxwave's typical previous FM installations on green-field sites. This transmitter site has been planned for SSDAB provision during the FM site build

- 3.18 Please indicate how the costs outlined in 3.17 (above) will be met, under the following headings:

- a) Share capital
- b) Loan stock
- c) Leasing/HP facilities (capital value)
- d) Bank overdraft
- e) Grants and donations
- f) Other (please specify)

Where needed partner stations will contribute to the establishment of the service.

3.19 Expanding the table if necessary, please list the providers of the funding which will be used to establish the service, and the amount of funding that each will provide. For incorporated investing shareholders, please provide a copy of the most recent statutory accounts if they are not currently available on Companies House.

Name of shareholder or investor	Percentage of shares held (if applicable)	Amount of funding provided (£)

3.20 All of the funding identified above should be confirmed. If any funding has not been confirmed, or if there are any pre-conditions before the funding is released which have not yet been met, provide an explanation of what needs to be done, the dates by which it needs to be carried out, and any steps the applicant needs to take to ensure the funding is confirmed and/or released.

All funding is confirmed – see appendices

Relevant expertise and experience

3.21 Please provide details of who will be responsible for the day-to-day general management of the multiplex service (for example, dealing with programme service providers and contractual matters). Please also briefly state their previous relevant experience. (Note that any individuals or contractors who cannot be identified at this stage can instead be included in the confidential section (Part B) of the application form.)

Maxxwave Ltd (Transmission and Technical):

Within the License award statements for Leicester and Coventry, Ofcom has already acknowledged the relevant experience of Maxxwave, but for reasons of completeness we list the relevant experience below anyway.

Furthermore Maxxwave has already installed the entire Coventry SSDAB equipment (minus antenna), pending approval from Ofcom of the final technical plan.

With over fifteen years' experience commissioning, installing and managing broadcast transmitters, Maxxwave has extensive experience of IP networking, software development, embedded micro-processing, systems and software maintenance. Maxxwave has contracts to manage filtering and other transmission arrangements on some of the UK's largest radio sites, and regularly provides consultancy services for complex and difficult to resolve interference issues.

Maxxwave has operated a non-radiating DAB multiplex for past eighteen months, using ODR software, and has experience with installing, developing and compiling all aspects of this transmission chain. Maxxwave extensive experience with Band Three transmission, previously operating one of the UK's largest Band Three networks prior to band being vacated for SSDAB.

Maxxwave has significant experience providing first-line support for broadcast clients, including through 24/7 network operation centre, monitoring all aspects of broadcasters output, from audio provision at studio, to radiated on-air. Maxxwave has extensive experience with administration and billing, including running a wireless ISP, which includes automated billing systems and direct debit management, debt-chasing systems, and client management and debt recovery. Based on this experience, Maxxwave is able to demonstrate that they have the necessary skills, resources and expertise to establish and sustain this project.

Martin Steers has been involved in radio since he was involved in student radio in 2006, he was station manager of his student radio station CUR1350, whilst there he wrote their successful CR application which they now broadcast as Cam FM.

Since then he has worked for the BBC (BBC Oxford), commercial radio (Eagle Radio) and within the community radio sector (Diverse FM), currently holding the position of station manager of NLive Radio since September 2019.

He is the co founder and station manager of Bedford Radio a community radio station due to launch in Bedford with a C-DSP next year.

He has also held numerous RSL licenses for Scout Radio broadcasting at Jamborees and Scout events as either Scout Radio or Jam FM.

During all this time he has an exemplary track record in compliance, training and in some cases the operation and management of broadcast radio stations.

In addition he is also a CoFounder and Director of the UK Community Radio Network CIC, and thus holds a position of responsibility for a sector based organisation.

He is also the Founder, Chair and Director of the Community Radio Awards; an organisation that champions and promotes best practice within the sector

Chris Gregg is a very experienced radio programmer with over 30 years' experience having worked for GCap Media, Global Radio, UKRD and Chrysalis Radio and managed successful radio stations in Leeds, Manchester and Milton Keynes as well as broadcasting on some of the largest regional and local stations.

He was Programme Controller of Horizon Radio in Milton Keynes from 2006 and oversaw the rebrand of the radio station to Heart 103.3, after which he took the station to its highest RAJAR reach and hours for 10 years. He then went on to found MKFM as a community radio station for Milton Keynes in 2011 which included 6 RSL licences, broadcasting on regional DAB from 2013 and a successful Community Radio licence application in 2015.

Before moving to the local area, Chris was Programme Manager at 96.2 The Revolution in Oldham between 1999 and 2006, achieving the radio station's highest ever audience figures.

Alongside these roles, he has continued to be involved with community radio and founded Revolution Radio in Northampton in 2020 which successfully applied for an FM licence and has been on air since Summer 2021.

Chris has a strong network of connections in the Northampton business community and third sector and regularly hosts events in the area.

Chris holds a Bachelor of Broadcasting degree from Leeds University, taught in conjunction with BBC Training and has completed several management training courses with GCap Media and Global Radio.

- 3.22 Please provide details of who will be carrying out the installation and on-going maintenance of your multiplexing / transmitter equipment (or the name of your proposed transmission and multiplex provider if you intend to use a third-party organisation for these services). Please also briefly state their previous relevant experience. (Note that any individuals or contractors who cannot be identified at this stage can instead be included in the confidential section [Part B] of the application form.)

Function	Responsible party	Previous experience
Installation of transmission equipment	Maxxwave Ltd	Several Ofcom licenced sites and services, including non-operational SSDAB trials.
Ongoing maintenance of the	Maxxwave Ltd	Several Ofcom licenced sites and services, in-

transmission equipment		cluding non-operational SSDAB trials.
Installation of the Multiplexing equipment	Maxxwave Ltd	Several Ofcom licenced sites and services, including non-operational SSDAB trials.
Day-to-day technical management and maintenance of the multiplexing equipment (if different from response to question 3.28 above)	Maxxwave Ltd Northampton DAB CIC	Several Ofcom licenced sites and services, including non-operational SSDAB trials.

Timetable for coverage roll-out

3.23 Please tell us how soon after licence award you expect your multiplex service to become operational and achieve the coverage you are proposing:

The delay with Northampton is likely to be equipment availability. We are seeing delays of up to 3 months in the supply chain at the moment with key components such as transmitters and multiplexers. We therefore are reflecting this in the plan below.

Maxxwave has indicated that they believe this multiplex can be operational four-seven months after license award or one month after final technical clearance by Ofcom, whichever comes later. We note that the transmitter site already exists, the approvals are all already in place and a number of key components, such as the filtration, are already owned.

- a. Ofcom clearances. [Month 1]
- b. Purchase transmitter and multiplexer (We are expecting up to 3 months delay)
- c. Finalise site agreements. (Already Complete)
- d. Purchase antenna and filter. (Already Purchased – we have a considerable number of different Band 3 antennas in stock)
- e. Install Connectivity. (Already installed)

[Following tasks are once transmitter/multiplexer have arrived and final Ofcom technical approval]. This is likely to commence in month 4-7, as referred to above

- f. Install transmitter
- g. Install antenna
- h. Configure and install multiplexer
- i. Establish non-radiating test transmissions
- j. Establish radiating test transmissions
- k. Final commission.
- l. Open for service

3.24 In addition, please provide, as an annex to be submitted with this application, an outline project plan with timeline (e.g. a Gantt chart or similar) showing the high level activities and tasks leading up to the launch of your multiplex service. **TBC**

4. Involvement of C-DSP providers; demand or support from programme providers

About this section

Section 51(2)(ca) of the 1996 Act requires Ofcom to take into account the desirability of awarding a small-scale radio multiplex licence to a body corporate that is providing – or proposing to provide – a C-DSP service in the locality being advertised (or involving such a person as a participant in the licence-holding company).

Section 51(2)(f) of the 1996 Act requires Ofcom to take into account evidence that the applicant has support from providers interested in having their existing or planned programme services carried on the proposed multiplex.

Note that we do not require applicants to provide us with a full-line up of services they intend to provide, or details about the content of those services.

Involvement of C-DSP providers

4.1 Is the applicant body proposing to provide its own C-DSP service on the multiplex?

No (please delete accordingly)

4.2 If the answer to the above question is 'yes', please provide a name and brief details of this proposed service. If the service is already licensed by Ofcom, the licence number should be provided:

N/A

4.3 If the answer to the above question is 'no', please provide details of any participant in the applicant body that is proposing to provide a C-DSP service on the multiplex. In this context, a "participant" refers to a person who holds or is beneficially entitled to shares in the applicant or possesses voting power in the applicant. If the service is already licensed by Ofcom, the licence number should be provided. Please refer to the nature of the participation (e.g. shareholding or agreement in relation to voting power):

Existing CR stations who intend to apply for a C-DSP to simulcast FM service:-

- Inspiration FM (25% shareholder)
- Embrace Radio (25% shareholder)
- NLive Radio (25% shareholder)
- Revolution Radio (25% shareholder)

Demand or support from programme providers

4.4 Please provide in the table below (expanding the table if necessary) the names of providers (or prospective providers) of community or local digital sound programme services who have expressed their demand or support for the provision of the proposed multiplex service in the area to be served by the multiplex service. For reasons of transparency, the response to this question *must be answered below and should not be provided as a separate annex*. The evidence of the demand or support, such as signed heads of terms or emails, should be provided as a confidential annex, and relate only to the specific multiplex being applied for.

For C-DSP marked with **, please see comments in Part B of this application form

Name of service provider and station name (if known)	Proposed C-DSP or DSP	Nature of evidence of support provided
NLive Radio	C-DSP	Partner Organisation
Revolution Radio	C-DSP	Partner Organisation
Inspiration FM	C-DSP	Partner Organisation
Embrace Radio	C-DSP	Partner Organisation
Life Radio	DSP	Email
Radio Silverstone	C-DSP**	EOI Form
Diverse FM	C-DSP**	EOI Form
Radio Sangam	DSP	EOI Form
Olney Radio	C-DSP**	EOI Form
Real city radio	C-DSP**	EOI Form
Shire Sounds Radio	C-DSP**	EOI Form
Black Culture Radio (BCR)	C-DSP**	EOI Form
UltraVegas	DSP	EOI Form

5. Fair and effective competition

About this section

Section 51(2)(g) of the 1996 Act requires Ofcom to assess whether, in contracting or offering to contract with programme service providers, the applicant has acted in a manner calculated to ensure fair and effective competition in the provision of community and local digital sound programme services.

In assessing applications, we need to have confidence that the prospective small-scale radio multiplex licensee has approached a wide range of potential service providers. Negotiations that have taken place between the applicant and potential service providers need to have been demonstrably fair, and the terms of any contract for service provision must be fair and non-discriminatory.

Applicants should note there is one further question relating to fair and effective competition in Part B of the application form.

- 5.1 Please detail the measures that have already been taken, and will be taken before and during the licence period, to demonstrate that, in contracting or offering to contract with programme services providers, the applicant has acted in a manner calculated to ensure fair and effective competition in the provision of such services.

For example, how have you sought to engage a broad range of prospective service providers including those not directly involved as participants in the application and those who have decided not to proceed to express an interest or agree heads of terms at this stage, and how have you sought to make prospective service providers aware of your proposals and potential terms for carriage?

Us and our shareholders are not aware of any competing bid and therefore have not been able to offer to them to carry their services on our multiplex.

We have approached all local community stations that we are aware within the polygon of and made offers of carriage. Furthermore we have made offers of Shareholding if they are prepared to hold a C-DSP license.

We have carried a website (www.northamptondab.co.uk) and release two press releases to raise awareness of our bid, these have been published in local business publications (Business Times October 2022, InBusiness October/November 2022), and local newspapers and their website, Northampton Chronicle and Echo (17th August 2022), Northampton Life Magazine (17th August 2022), as well as industry website RadioToday (8th September 2022) to ensure the maximum number of potential services are aware of our offering.

Furthermore we have solicited a number of stations directly to make them aware of our offering. This list was based upon stations we are aware who have taken SSDAB carriage or shown interest on multiple multiplexes.

All services are being offered identical carriage terms and rates on a completely non-discriminatory basis. Our intention is to strictly adhere to a "Tariff Card", openly published on a website, and all services will be expected to pay the same amount.

If we are successful in our application

If we are successful, we will attempt to fill the multiplex in the following order:-

- 1: We will contact each service provider who has contacted us directly prior to the date of award and make an offer of carriage
- 2: We will then contact any service providers readily identifiable from any other potential bid for this area, making offers of carriage
- 3: We will then make offers to service providers who contact us after the license offer from Ofcom.

If during any one of these three stages the multiplex becomes full, we will allow the services who contacted us first onto the multiplex. We will continue to contact services in the above order, but instead place the services onto a waiting list, such that should a service fail to materialise by time of launch or a service leave the multiplex then there is an opportunity for all to be able to broadcast.

If the multiplex becomes "full" and there is no more capacity to accommodate any further services

If the multiplex becomes full, we will operate a waiting list. We will also approach all services on the multiplex asking if they would like to voluntarily reduce their occupied bitrate, in order to accommodate more services.

If there is a waiting list, we will favour services which cater for audiences unserved by the existing services carried on the multiplex, in order to provide maximum benefit for the maximum number of listeners.

6. Declaration

About this section

This form must be submitted by the body corporate which will hold the licence. The declaration must be certified by a company director or the company secretary, and covers all information provided by in the applicant in both Part A and Part B of the application form. The declaration must also be dated.

- a) I hereby apply to Ofcom for the grant of a licence for the small-scale radio multiplex licence described above and declare that the information given in this application form is, to the best of my knowledge and belief, correct.
- b) I further declare and warrant:
 - i) that the applicant is not a disqualified person within the meaning of that expression as defined in Part 2 of Schedule 2 to the Broadcasting Act 1990, as amended, or as a result of a disqualification order under section 145 of the Broadcasting Act 1996;
 - ii) that having made all reasonable enquiries neither the applicant nor any person controlling the applicant, as result of the grant to me of the licence, breach any requirement of Schedule 14 to the Communications Act 2003 with regard to the accumulation of interests in broadcasting services or to the restrictions on cross-media interests;
 - iii) that the applicant is not disqualified by virtue of the provisions of section 143 (5) of the Broadcasting Act 1996 in relation to political objects and the provisions of section 144 (3) of the Broadcasting Act 1996 in relation to the provision of false information or through the withholding of information with the intention of misleading Ofcom; and
 - iv) that no director or person concerned directly or indirectly in the management of the Licensee is the subject to a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996.
- c) I understand that Ofcom reserves the right to revoke the licence (if granted) if at any time any material statement made to Ofcom is found to be false and to have been by the applicant or any member or officer thereof knowing it to be false. I also understand that under sections 144 and 145 of the Broadcasting Act 1996, the provision of false information could incur a criminal conviction and a disqualification from the holding of a Broadcasting Act licence. I further certify that, to the best of my knowledge, any matters which might influence Ofcom's judgement as to whether the directors and substantial shareholders involved in this application are fit and proper persons to participate in a radio licence, have been made known to Ofcom.

Full name (BLOCK CAPITALS) of the person authorised to make the application of behalf of the applicant:

MARTIN STEERS

Date of application:

14/10/2022

I am authorised to make this application on behalf of the applicant in my capacity as (delete as appropriate):

Company director

You now need to complete the confidential section (Part B) of the application form.